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Sector \$

09/931.593

August 16, 2001

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TRANSMITTAL

PTO/SB/21_08-00)
Approved for use through 10/31/2002, OMB 0661-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Application Number

Filing Date

FORM James T. Hanson First Named Inventor (to be used for all correspondence after initial filing) Group Art Unit 2622 **Not Yet Assigned Examiner Name** RTN-144PUS Attomey Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please **Extension of Time Request** Address identify below): Terminal Disdaimer Return-receipted postcard **Express Abandonment Request** Request for Refund Copy of Notice to File Missing Information Disclosure Statement Parts CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application In the event a petition for extension of time is required by this paper and not Response to Missing Parts under 37 CFR 1.52 or 1.53 otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Judith C. Crowley Firm Reg. No. 35,091 Daly, Crowley & Mofford, LLP Individual name Signature Date CERTIFICATE OF MAILING hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Judith C. Crowley

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to camplete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Of Assistant Commissioner for Patents, Washington, DC 20231.

Date



PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	870
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Complete if Known				
Application Number	09/931,593			
Filing Date	August 16, 2001			
First Named Inventor	James T. Hanson			
Examiner Name	Not Yet Assigned			
Group Art Unit	2622			
Attorney Docket No.	RTN-144PUS			

	METHOD OF PAYMENT FEE CALCULATION (continued)								
	The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES								
indicated fees and credit any overpayments to: Deposit				Large Small					
Account		50-0845		Fee	Entit	y Fee	Entit Fee	•	Fee Paid
Number			,	Code		Cod		Fee Description	
Deposit Account	Daly, C	Crowley & Mofford, LLP		105	130	205	65	Surcharge - late filing fee or oath	130
Name Charg	e Any Addition	al Fee Required	•	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.		139	130	139	130	Non-English specification			
See 37 CFR 1.27		147	2,520	147	2,520	For filing a request for ex parte reexamination			
2. Payment Enclosed:			112	920*	112	920*	Requesting publication of SIR prior to		
X Chec	* ∐ Cr	edit card Money Oth	ner	113	1,840*	113	1 840*	Examiner action Requesting publication of SIR after	
	FEE	CALCULATION		'''	1,040		1,010	Examiner action	
1. BASIC I	FILING FE			115	110	215	55	Extension for reply within first month	
	ity Small En			116	400	216	200	Extension for reply within second month	
Fee Fee	Fee Fee	e Fee Description	hie	117	920	217	460	Extension for reply within third month	
Code (\$) 101 740	Code (\$) 201 370	LAMPS CITY FOR	1	118	1,440	218	720	Extension for reply within fourth month	
106 330	206 165	Design filing fee 740	<u>'</u>	128	1,960	228	980	Extension for reply within fifth month	
107 510	207 255	Plant filing fee		119	320	219	160	Notice of Appeal	
108 740	208 370			120	320	220	160	Filing a brief in support of an appeal	
114 160	214 80	•		121	280	221	140	Request for oral hearing	
114 100	214 00		_,	138	1,510	138	1,510	Petition to institute a public use proceeding	
		SUBTOTAL (1) (\$) 740		140	110	240	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES		141	1,280	241	640	Petition to revive - unintentional			
		Fee from Extra Claims <u>below</u> Fee	Paid	142	1,280	242	640	Utility issue fee (or reissue)	
Total Claims	20 -2	20** = 0 X 18 = (143	460	243	230	Design issue fee	
Independent Claims	3 -	3** = 0 X 84 = (144	620	244	310	Plant issue fee	
Multiple Deper	ndent	=======================================		122	130	122	130	Petitions to the Commissioner	
				123	50	123	50	Processing fee under 37 CFR 1.17(q)	
	y Small Enti			126	180	126	180	Submission of Information Disclosure Stmt	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description		581	40	581	40	Recording each patent assignment per	
103 18	203 9	Claims in excess of 20						property (times number of properties)	
102 84 104 280	202 42 204 140	Independent claims in excess of 3 Multiple dependent claim, if not pa	id	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280 109 84	209 42	** Reissue independent claims over original patent		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
110 18	210 9	** Reissue claims in excess of 20		179	740	279	370	Request for Continued Examination (RCE)	
'''	210 3	and over original patent		169	900	169	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) Other fee (specify)									
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130									

SUBMITTED BY		Complete (i	Complete (if applicable)		
Name (Print/Type)	Judith C. Crowley	Registration No. (Attorney/Agent) 35,091	Telephone	781.401.9988 ext. 12	
Signature	Judith C.	ionel	Date	23 Oct 01	

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/931,593

08/16/2001

James T. Hanson

RTN-144PUS

022494 DALY, CROWLEY & MOFFORD, LLP **SUITE 101** 275 TURNPIKE STREET CANTON, MA 02021-2310



CONFIRMATION NO. 2208 FORMALITIES LETTER OC000000006909907*

Date Mailed: 10/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 840.

copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/14/2002 HBERHE 00000028 09931593

01 FC:101 02 FC:105

740.00 OP 130.00 DP